

Request to Use Pershing's Name, Logo or Content

Please use this form to submit review requests as they pertain to your business needs. Please submit this form and include a formatted file of the customized material(s), web links, visuals, etc. which demonstrate how content will be used. Please allow approximately two weeks for review. Upon review, Pershing will contact the person(s) noted below with comments and/or approval.

Pershing's review and approval is not a substitute for your firm's internal regulatory reviews or approvals, or any actions required by your firm under FINRA Rule 2210.

Submit completed form to marketing@pershing.com.

COMPANY INFORMATION

| | | | |
|--------------------------|-------|------------------------|--|
| Company Name | | Date of Review Request | |
| Address | | | |
| City | State | Zip/Postal Code | |
| Requested By (Name) | | | |
| Requested By (Signature) | | | |
| X | | | |
| Contact Phone Number | | Contact Email | |

REQUEST TO USE PERSHING LOGO(S)

If your firm would like to request use of Pershing or any Pershing affiliates' logos, please complete this section. For review to be completed, please include a visual example of how your firm will display or use the logo with this form.

Logo(s) Needed

☐ Pershing Logo ☐ Other _____

File Type(s) Needed

☐ JPG ☐ EPS ☐ TIFF ☐ PNG • ☐ Color ☐ Black ☐ White

Additional Information

| |
|------------------------------|
| Where will the logo be used? |
| Who is the audience? |

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REQUEST TO RE-PURPOSE AND DISTRIBUTE PERSHING CONTENT OR REFERENCE TO PERSHING

If your firm would like re-purpose any content written by Pershing or cite any reference to Pershing or the business relationship, please complete this section.

Content that will be used:

Where will content be used or displayed?

☐ **Web**

Please provide URL or description of site and intended audience:

☐ **Print**

Please describe how material will be used in print (i.e. distribution, promotion, events, etc.):

FOR PERSHING INTERNAL USE ONLY

Request Approved By

Date

☐ With edits ☐ Without edits

Reviewed By

☐ Legal ☐ Marketing ☐ Other:

☐ Other: