

ACCOUNT CHANGE REQUEST FORM

ACCOUNT NUMBER(S)

PLATFORM

☐ IWS /NFS ☐ PERSHING DBS
☐ PERSHING PAS ☐ TD Ameritrade
☐ SCHWAB ☐ OTHER

Primary _____

Joint Owner: _____

Owner: Name ☐ _____ (Attach Documentation For Name Change)

Change: Seasonal Address Standing Instructions ("Snowbirds"; Complete Every Three Years):

New Address: ☐ Mailing Address

☐ Legal Address

☐ Phone & Email

☐ Phone & Email

(H) _____

(H) _____

(W) _____

(W) _____

(M) _____

(M) _____

Email: _____

Email: _____

Other: _____

Other: _____

I hereby attest to the fact that I have verbally confirmed the above changes with the client (or authorized individual) on _____ (date) at _____ (phone number) or at _____ (in person).

Authorized Signature _____ Date: _____

Principal Approval: _____ DATE: _____

☐ Yes, I am properly Registered to do business in the new state of residence.

Attach any back up from client.