CHANGE OF BROKER/DEALER AND/OR FINANCIAL PROFESSIONAL

ADVISOR INSTRUCTIONS

An Individual Account Application form and a Individual Client Profile form must be on file or submitted in conjunction with this authorization. The Rep/Advisor is responsible for ensuring all necessary appointments with states and carriers are in good order and that the product being submitted is on Spire's Approved List before processing the paperwork. This form does not apply to fixed insurance products. Please check with your BGA/FMO for forms and procedures.

1. SPONSOR COMPANY AND ACCOUNT REGISTRATION INFORMATION

Company Name

Primary Owner or Custodian or Entity

Social Security Number or Tax ID Number

Co-Owner or Entity

Social Security Number or Tax ID Number

Account Registration	Account Number
1.	
2.	
3.	

2. NEW BROKER/DEALER OF RECORD

Spire Securities, LLC
7901 Jones Branch Dr., Suite 810
McLean, VA 22102
(703) 657-6060 Fax: (703) 748-1372

New Registered Rep of Record

Rep/Carrier ID

Branch ID

Branch Phone

Branch Fax

Branch Email

Branch Address

Suite

City

State

Zip

3. SIGNATURES

Print Client Name *First, M.I., Last*

Client Signature

Date *MM–DD–YYYY*

SIGN

Print Client Name *First, M.I., Last*

Client Signature

Date *MM–DD–YYYY*

SIGN

FOR COMPANY USE ONLY

Print Representative Name *First, M.I., Last*

Representative Signature

Date *MM–DD–YYYY*

SIGN

Print Principal Name *First, M.I., Last*

Principal Signature

Date *MM–DD–YYYY*

SIGN